

09/28/01

UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS

Box PATENT APPLICATION

Washington D.C. 20231

Sir:

Case Docket No.: K-261

Transmitted herewith for filing is the patent application of

INVENTOR OR APPLICATION IDENTIFIER: Yeong Jong SHIN

FOR: METHOD AND SYSTEM FOR MAKING HANDOFF BETWEEN CDMA MOBILE COMMUNICATION SYSTEM

Enclosed are:

1. ☒ 28 pages of specification, claims, abstract
2. ☒ 4 sheets of FORMAL drawings (Figs. 1-5).
3. ☒ 2 pages of newly executed Declaration & Power of Attorney (original).
4. ☒ Priority Claimed to Korean Patent Appln. No. P2000-64302, filed 10/31/00, whose entire disclosure is incorporated herein by reference.
5. ☐ Applicant claims Small Entity Status.
6. ☐ Information Disclosure Statement, Form PTO-1449 and reference.
7. ☒ Assignment Papers for LG Electronics Inc. (cover sheet, assignment & assignment fee).
8. ☒ Certified copy of Republic of Korea Appln. No. P2000-64302, filed 10/31/00 with Transmittal Letter.
9. ☒ Two (2) return postcards.
☐ Stamp & Return with Courier.
☐ Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.
10. ☒ Authorization under 37 C.F.R. §1.136(a)(3).
11. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
12. ☒ Other: Preliminary Amendment

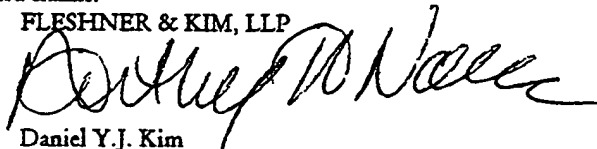
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| For | No. Filed | No. Extra | Rate | Fee |
|---|-----------|-----------|------------|----------|
| Total Claims | 25 | - 20 | X \$18.00 | \$ 90.00 |
| Indep. Claims | 4 | - 3 | X \$80.00 | \$ 80.00 |
| Multiple Dependent Claims (If applicable) | | | X \$270.00 | |
| BASIC FEE | | | | \$710.00 |
| TOTAL FILING FEE | | | | \$880.00 |

- ☐ This is a Continuation-in-part (CIP) of prior application No: _____ filed _____. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Amend the specification by inserting before the first line the sentence:
 -This application is a continuation-in-part of Application Serial No. _____ filed _____.
- ☒ A check in the amount of \$880.00 (Check # 01219) is attached.
- ☐ Please charge my Deposit Account No. 16-0607 in the amount of \$____. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

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Date: September 28, 2001